Preliminary Classification:

Proposed Class:

Subclass:

NOTE:

"All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand comer of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129." M.P.E.P. § 601, 7th ed.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Jukka-Pekka VIHMALO, Marko T. AHVENAINEN and Jakke MÄKELÄ

WARNING: 37 C.F.R.§ 1.41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors.

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(I) is filed supplying or changing the name or

names of the inventor or inventors.

For (title):

MEMORY WEAR LEVELING

## CERTIFICATION UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is mandatory) (Express Mail certification is optional.)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date, <u>September 5, 2003</u>, envelope as "Express Mail Post Office to Addressee," mailing Label Number <u>EV252883</u> EV252883766US \_, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ann Okrentowich

(type or print name of person mailing paper)

Ann Okrestowich
Signature of person mailing paper

**WARNING:** 

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**WARNING:** 

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail"

mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed.

Reg. 56,439, at 56,442.

(New Application Transmittal [4-1] page 1 of 11)

# 1. Type of Application This new application is for a(n) (check one applicable item below) ☑ Original (nonprovisional) □ Design □ Plant WARNING: "Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. § 37(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application. WARNING: Do not use this transmittal for the filing of a provisional application. If one of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION. Divisional Continuation Continuation-in-part (C-I-P)

# 2. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

NOTE: A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America. In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 U.S.C. § 112. Each prior application must also be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designated the United States of America; or
- (ii) Complete as set forth in § 1.51(b); or
- (iii) Entitled to a filing date as set forth in § 1.53(b) or § 1.53(d) and include the basic filing fee set forth in § 1.16; or
- (iv) Entitled to a filing date as set forth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(l) within the time period set forth in § 1.53(f).

37 C.F.R. § 1.78(a)(1).

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120,121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 199, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

WARNING:		):	When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).						
			The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.						
3.	Pap	ers	Enclosed						
	<u>20</u> 7	1.15 Pag Pag	quired for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 53 (Design) Application ges of specification ges of claims eets of drawings						
	WAR	NIN	G: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. § 1.84, see Notice of March 9, 1988 (1990 O.G. 57-62).						
	NOT	E:	"Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm (5/8 inch) down from the top of the page "37 C.F.R. § 1.84(c)).						
			(complete the following, if applicable)						
			The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. § 1.84(b).						
			The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWING(S)" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b).						
		⊠	formal informal						
	В.	Oth	er Papers Enclosed						
	1	Pa	ges of declaration and power of attorney ges of abstract her (title page)						
4.	Add	litic	onal papers enclosed						
		Am	endment to claims						
		the	filing fee. (At least one original independent claim must be retained for g purposes.)						
		bee	d the claims shown on the attached amendment. (Claims added have en numbered consecutively following the highest numbered original ims.)						

u	Pre	eliminary Amendment
	Info	ormation Disclosure Statement (37 C.F.R. § 1.98)
	For	m PTO-1449 (PTO/SB/08A and 08B)
	Cit	ations
	De	claration of Biological Deposit
	am	omission of "Sequence Listing," computer readable copy and/or endment pertaining thereto for biotechnology invention containing cleotide and/or amino acid sequence
		thorization of Attorney(s) to Accept and Follow Instructions from presentative
	Sp	ecial Comments
	Oth	ner
5. D	eclar	ation or oath (including power of attorney)
NOTE:	that beit mat app cop are und dec in a	ewly executed declaration is not required in a continuation or divisional application provided the prior nonprovisional application contained a declaration as required, the application of filed is by all or fewer than all the inventors named in the prior application, there is no new ter in the application being filed, and a copy of the executed declaration filed in the prior dictation (showing the signature or an indication thereon that it was signed) is submitted. The sy must be accompanied by a statement requesting deletion of the names of person(s) who not inventors of the application being filed. If the declaration in the prior application was filed ler § 1.47, then a copy of that declaration must be filed accompanied by a copy of the ision granting § 1.47 status or, if a nonsigning person under § 1.47 has subsequently joined a prior application, then a copy of the subsequently executed declaration must be filed. See C.F.R. §§ 1.63(d)(1)-(3).
NOTE:	whi give res	declaration filed to complete an application must be executed, identify the specification to ch it is directed, identify each inventor by full name including family name and at least one en name, without abbreviation together with any other given name or initial, and the idence, post office address and country or citizenship of each inventor, and state whether the entor is a sole or joint inventor. 37 C.F.R. § 1.63(a)(1)-(4).
NOTE:	ded oat app to §	e inventorship of a nonprovisional application is that inventorship set forth in the oath or claration as prescribed by § 1.62, except as provided for in § 1.53(d)(4) and § 1.63(d). If an h or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional clication, the inventorship is that inventorship set forth in the application papers filed pursuant § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(I) filed supplying or changing the name or names of the inventor or inventors." 37 C.F.R. § 1(a)(1).
		Enclosed
		Executed by
		(check all applicable boxes)
		inventor(s).
		legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F. R. § 1.47 is also attached. See item 13 below for fee.
	X	Not Enclosed

NOTE:	com Appi may	pletio licatio be, u	ne filing is a completion in the U.S. of an International Application or where the in of the U.S. application contains subject matter in addition to the International in the application may be treated as a continuation or continuation-in-part, as the case utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT R U.S. APPLICATION CLAIMED.
			Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of <i>all</i> the above named inventor(s).
(Th	e deci	larat	ion or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) can be filed subsequently).
			☐ Showing that the filing is authorized.  (not required unless called into question. 37 C.F.R. § 1.41(d))
6. Ir	vento	orsh	ip Statement
WARN	ING:	the c	e named inventors are each not the inventors of all the claims an explanation, including ownership of the various claims at the time the last claimed invention was made, should submitted.
The ir	vento	orshi	p for all the claims in this application is:
×	] The	e sar	ne.
			or
			same. An explanation, including the ownership of the various claims me the last claimed invention was made,
		is s	ubmitted.
		will	be submitted
7. L	angua	age	
NOTE:	Eng of \$	ilish. 130.0	cation including a signed oath or declaration may be filed in a language other than An English translation of the non-English language application and the processing fee 00 required by 37 C.F.R. § 1.17(k) is required to be filed with the application, or within a as may be set by the Office. 37 C.F.R. § 1.52(d).
	⊠ □		English Non English
			The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).
8. A	ssign	nmer	nt
	X	An	assignment of the invention to Nokia Corporation
		<u> </u>	is attached. A separate □ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or □ FORM PTO 1595 is also attached.
		X	will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

WARNING:						3(b)" must be filed when a of April 30, 1993, 1150 OG					
		is a □ continuation □ divisional application and the assignment document ne parent application 0 / was filed on									
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					Frame	)					
		d Copy d copy(ies) of applica	ation(s)								
Co	untry		Applr	n. No.		Filed					
Co	untry		Applr	ı. No.		Filed					
from w	hich <sub>l</sub>	priority is claimed:									
		is (are) attached. will follow.									
	This pare unde item OF I	nt U.S. application or Ir er 35 U.S.C. § 120 is itse	priority for which international Applies for entitled to priorices FOR NEW ADN(S) CLAIMED.  § 1.16)	cation in	from which thi a prior foreig	filed directly relates. If any is application claims benefit n application, then complete SMITTAL WHERE BENEFIT					
_			CLAIMS AS	FILE	)						
Numbe	er file	d	Number Extra	a	Rate	Basic Fee 37 C.F.R. § 1.16(a) \$750.00					
Total C (37 C.F		s § 1.16(c)) 35 -20 =	15	×	\$18.00 =	270.00					
		t Claims § 1.16(b)) 4 - 3 =	1	×	\$84.00 =	84.00					
		pendent claim(s), C.F.R. § 1.16(d))		+	\$290.00						
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Filing Fee Calculation

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			prepare hen natio										арр	licat	ion a	t the

13. F	ee P	ayment Being Made at This Time	
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	X	No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. § 1.16(e) can be subsequently.)	oe paid
	] E	nclosed	
		Filing fee	\$
		(\$40.00 – 37 C.F.R. § 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING	\$
		inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.	\$
		For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k))	\$
			\$
		Fee for international-type search report (\$40.00; 37 C.F.R. § 1.21(e))	\$
NOTE:	at as a	7 C.F.R. § 1.21(I) establishes a fee for processing and retaining any appropriate of the complete the application pursuant to 37 C.F.R. § 1.53(f) as the changes to 37 C.F.R. §§ 1.53 and 1.78(a)(1), indicate that in order to obtate prior U.S. application, either the basic filing fee must be paid, or the processing of § 1.21(I) must be paid, within 1 year from the notification under § 53(f).	and this, as well in the benefit of
	T	otal fees enclosed	\$
14. N	/leth	od of Payment of Fees	
C	3 A	ttached is a □ check □ money order in the amount of \$	<del></del>
C	) A	uthorization if hereby made to charge the amount of \$	
		to Deposit Account No.	
		to credit card as shown on the attached credit card inform authorization form PTO-2038	nation
ir		charge any additional fees required by this paper or credit any over manner authorized above. A duplicate of this transmittal is at	
NOTE		ees should be itemized in such a manner that it is clear for which purpose the $\epsilon$ .F.R. § 1.22(b).	ees are paid. 37

# 15. Auth rizati n to Charge Additi nal F es WARNING: If no fees are to be paid on filing, the following items should not be completed. WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized. □ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. □ 37 C.F.R. § 1.16(a), (f), or (g) (filing fees) □ 37 C.F.R. § 1.16(b), (c), and (d) (presentation of extra claims) NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims canceled by amendment prior to the expiration of the time period set for response by the P.T.O. in any notice of fee deficiency (37 C.F.R. §

presentation must only be paid or these claims canceled by amendment prior to the expiration of the time period set for response by the P.T.O. in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the P.T.O. to charge additional claim fees, except possibly when dealing with amendments after final action.

□ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or

- declaration on a date later than the filing date of the application)
- ☐ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))
- ☐ 37 C.F.R. § 1.17 (application processing fees)

**WARNING:** 

- "...A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).
- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))
- NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the Notice of Allowance. 37 C.F.R. § 1.311(b).
- NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . issue fee." From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

# 16. Instructions as to Overpayment

Customer No. 004955

NOTE:	a re doll	Amounts of twenty-five dollars or less will not be returned unless specifical reasonable time, nor will the payer be notified of such amounts; amountly amounts are such as a deposit and the such as a deposit as a deposit and the such as a deposit as a deposit and the such as a deposit as	nts over twenty-five
		Credit Account No	
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Date:_ Reg. N	lo.	9/5/03 A Wenter SIGNATURE OF PR	ACTITIONER
Tel. No	). <b>(2</b> (	(203) 261-1234 Anatoly Fre	
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		Building Five, Brad 755 Main Street, P. Monroe, CT 06468	

	Inc	Incorporation by reference f added pages									
	pric stag the	(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.)									
		Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed									
		Number of pages added									
		Plus Added Pages for Papers Referred to in Item 4 Above									
		Number of pages added									
		Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.									
		Number of pages added									
		Plus "Assignment Cover Letter Accompanying New Application"									
		Number of pages added									
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		(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item.									
	X	This transmittal ends with this page.									

### IN THE UNITED STATES PATENT AND TRADEMARK FFICE

In re application of:

Vihmalo et al.

Serial No.: 0 /to be assigned Flled: herewith

Group No.: Examiner:

For:

MEMORY WEAR LEVELING

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Mail Stop Pat App

EXPRESS MAIL CERTIFICATE

EV252883766US "Express Mail" label number . 9/5/03 Date of Deposit \_\_\_

# I hereby state that the following attached paper or fee

New Appl. Transmittal Specification - 20 pgs + cover Claims - 7 pgs. Abstract - 1 pg. Dwgs. 8 sheets Self addressed stamped post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, Bx 22331. Alexandria, VA 22313-1450.

Ann Okrentowich

Typed or printed name of person mailing paper or fee

Man Okientowich
Signature of person mailing paper or fee

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of malling by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

(Express Mail Certificate [8-3])